

Information about the financial services offered by The Niche SA

1. GENERAL INFORMATION

Company Name:	The Niche SA
Address:	Corso Elvezia 9, 6900 Lugano
Telephone number:	+41 91 950 15 40
E-mail address:	AMTeam@theniche.ch
Internet site:	www.theniche.ch

The Niche SA (hereinafter referred to as the “**Manager**” or the “**Company**”) is a Swiss limited company that provides its clients (hereinafter also referred to individually as “**Client**” and collectively as “**Clients**”) with services in the field of portfolio management.

Unless otherwise agreed, the Manager, when performing portfolio management services, manages Clients’ assets held with a custodian bank under a limited power of attorney, restricted solely to administrative acts. The Company enters into a written asset management agreement with its Clients, outlining the Manager’s duties and powers, as well as the Client’s rights.

The Manager is authorized to provide portfolio management and investment advisory services exclusively in Switzerland. The legal relationships between the Client and the Manager are governed solely by Swiss law. The Manager assumes that the Client, at the beginning of the business relationship, is fully aware of these circumstances.

2. ASSESSMENT OF THE SUITABILITY OF THE SERVICE OFFERED

The Client acknowledges that a verification of the suitability of the financial services offered will be carried out by the Company. If the Client is classified as Professional, during the suitability check the Company will assume that he has the necessary knowledge and experience and that he is able to financially bear the investment risks associated with the financial service.

3. SUPERVISORY STATUS

The Company is a financial institution according to Art. 2 para. 1 letter a of the FinIA, licensed by the **Swiss Financial Market Supervisory Authority (FINMA)**; www.finma.ch, Laupenstrasse 27, 3003 Bern, +41 31 327 91 00, info@finma.ch) as a **portfolio manager** under Art. 17 para. 1 FinIA and **subject to the Supervisory Organisation (SO) OSFIN** (Via Nassa 56, 6900 Lugano / Florastrasse 44, 8008 Zurich / Rue du Château 23, 2000 Neuchâtel; Tel. +41 91 239 17 70, info@osfin.ch).

4. RISKS ASSOCIATED WITH FINANCIAL INSTRUMENTS

The Company, on its own initiative, informs the Client about the general risks associated with financial instruments (**securities**) as well as the general risks related to the Company’s activities. The Client may request, at any time, to receive personalized information regarding the risks associated with the services provided by the Company. This also applies in cases where the

Client does not understand the risks outlined in the factsheets or informational brochures provided.

The Client has been explicitly made aware by the Company that no asset management strategy is free from the risk of loss, including conservative strategies. Furthermore, the *performance* of the chosen portfolio management strategy is directly linked to the risks undertaken as part of that strategy. The Client acknowledges that the past performance of an investment cannot be used to predict its future returns.

The Client also understands that the investment instruments used as part of the separate asset management mandate ("**Mandate**") may be designed for long-term purposes and that such instruments may not be publicly marketed, may not be listed on a stock exchange, and may be redeemable only periodically, on specific dates or at the discretion of the issuer. These characteristics may result in delays in the availability of proceeds from their sale.

5. CONFLICTS OF INTEREST

Portfolio management companies aim to protect and reconcile the interests of their clients, shareholders, and collaborators. However, it is not always possible to completely eliminate conflicts of interest, especially given the wide range of high-quality financial services offered to clients.

Such conflicts may arise between the Company, its management, its collaborators, or other associated parties, and the Clients, or between the Clients themselves.

The Company is committed to upholding high ethical standards to ensure that external interests do not influence, for instance, the advisory services, order execution, asset management or financial analysis provided to Clients.

Conflicts of interest that cannot be avoided will be disclosed to the affected Client before the conclusion of an agreement or the provision of an advice. Upon request, the Company will provide the Client with additional details about these principles.

6. INFORMATION ON FEES AND FINANCIAL ARRANGEMENTS

The remuneration paid by the Client to the Manager for the services provided consists of a management fee, as stipulated in the respective Mandate signed with the Client.

In the context of portfolio management, if the Company receives commissions, fees, or other monetary benefits from third parties for services provided to Clients, these will be transferred in full to the Client. In such cases, the Company will inform the Client about the monetary compensations transferred.

7. MARKET OFFERING TAKEN INTO ACCOUNT

The Company selects the financial instruments for the Client from an unlimited range of products, without the obligation to favour those of certain issuers. In particular the market offering taken into account does not include own products.

8. COMPLAINT PROCEDURE / MEDIATION BODY

As a general principle, a Client who disagrees with the actions of the Manager may submit a complaint to the latter. Whenever possible, the complaint should be submitted electronically to the Company's email address. The Company will make every effort to collect and examine all relevant evidence and information related to the complaint. The Client/complainant will receive an opinion regarding their complaint within 30 days.

Additionally, the Client/complainant has the option to submit their request to the mediation body listed below. However, it is recommended to first await the Company's opinion.

Ombudsstelle Finanzdienstleister (OFD), Bleicherweg 10 - 8002 Zürich

Tel.: +41 44 562 05 25

E-mail: ombudsmann@ofdl.ch

The mediation body is neither a court nor does it have any judicial authority. Its role is to encourage dialogue between the parties involved and propose a negotiated solution. Since the parties are not bound by the opinion of the mediation body, they are free to accept its proposal or pursue other measures, such as initiating legal proceedings.

9. MEANS OF COMMUNICATION

The Company may communicate with the Client by post mail, e-mail and/or mobile or telephone number (incl. messaging services such as WhatsApp). The transmission of information by e-mail and/or telephone and/or mobile phone and/or messaging services involves the risk that the information may be lost, delayed, intercepted, modified, rendered incomplete or not delivered. For any request, clarification and/or communication, the Client can contact the Company at the addresses indicated at point 1.